

Health Program Innovator Award Letter of Inquiry Preview

Please note that we only accept applications through GivingData, our online application portal.

Organization Information

Tax ID: Please enter the Tax Identification Number for your institution (as it appears on file with the IRS or foreign equivalency)*

Institution: Please enter the official legal name of record for your institution (as it appears on file with the IRS or foreign equivalency.)*

Address*

- Address 1
- Address 2
- City
- State
- Zip code/Postal Code
- Country

Co-Principal Investigators

A Co-Principal Investigator (Co-PI) is an individual recognized by the prime institution and the Principal Investigator (PI) as someone who shares scientific and administrative leadership responsibilities for a project with the PI. The Co-PI is an individual who the PI relies on to contribute substantively to the scientific development and direction of the project in addition to the execution of the project. The Co-PI shares responsibility with the PI for ensuring that milestones are achieved. The Co-PI is considered "key personnel" and may be employed by or formally affiliated (through a written agreement) with the prime institution or a collaborating institution with a subaward.

The maximum award amount for a single PI is \$150,000, and for a collaborative project with Co-Principal Investigators is \$300,000.

- The budget must reflect the total amount requested, which may include up to 10% for indirect costs.
- Salary support for Principal Investigators/Co-Principal Investigators is limited to \$10,000 per PI/Co-PI (including fringe), and may not exceed \$30,000.

Does this project include Co-Principal Investigators?*

• Yes

• No

Given the definition above, how many Co-Principal Investigators does this project have? Hidden if response to above is "No". *

- 1
- 2
- 3
- 4

PLEASE NOTE: We require contact information and biosketches for <u>all</u> Co-Principal Investigators. You will be prompted to provide both on subsequent pages.

We DO NOT require contact information or biosketches for personnel or collaborators who DO NOT fit the description above.

Contact Information

Please provide the requested information for the contacts listed. You will be required to provide biosketches for the principal investigator and any co-principal investigators.

We also request demographic data. Please review our Demographic Data Purpose Statement.

Principal Investigator*

*All fields are required except pronouns.

- Prefix
- First Name
- Last Name
- Title
- Department
- Pronouns
- Address
- Email
- Phone Number
- Are you new to the IBD research field? Your response will not impact your chances of receiving an award, as it's not one of the criteria we use when evaluating grants.
 - o Yes
 - **No**
- Is IBD your primary research focus?
 - o Yes
 - o No
- If IBD is not your primary research, please describe the focus of your research.
- I currently have research funding to study IBD.
 - o Yes
 - **No**

- If you currently have research funding to study IBD, which organization is funding this research.
 - o NIH
 - o CCF
 - Helmsley Charitable Trust
 - o Other
 - o N/A
- If you selected "other", please specify the source of your IBD funding. Please type "Not Applicable" if appropriate.
- Do you currently have researching funding from the Kenneth Rainin Foundation as a PI, Co-PI, or Co-Investigator to study IBD?
 - o Yes
 - **No**
- Have you previously received researching funding from the Kenneth Rainin Foundation as a PI, Co-PI, or Co-Investigator to study IBD?
 - o Yes
 - o No
- Gender Identification: How do you publicly self-identify?
 - o **Female**
 - o Male
 - o Gender nonbinary/Genderqueer/Gender non-conforming
 - Decline to state
- Gender Identification: How do you publicly self-identify?
 - Transgender
 - Not transgender (cisgender)
 - Decline to state
- Sexual Orientation: How do you publicly self-identify?
 - Heterosexual or straight
 - Gay, lesbian, bisexual (or other sexual orientations within the LGBTQIA+ community)
 - Different identity (please specify)
 - Decline to state
- Race Identification: How do you publicly self-identify?
 - o Asian American/Pacific Islander/Asian/East Asian/South Asian/Southeast Asian
 - Black/African American/African
 - Hispanic/Latino/Latina/Latinx/Latine
 - o Native American/American Indian/Alaska Native/Indigenous
 - White/Caucasian/European
 - Multi-racial/Multi-ethnic (two or more races or ethnicities)
 - Prefer to identify with another race or ethnicity (please specify)
 - Decline to state
- Disability: How do you publicly self-identify?
 - I identify as a person with a disability
 - I do not identify as a person with a disability
 - $\circ \quad \text{Decline to state} \quad$
- Degree(s)
 - Bachelor's Degree
 - o DVM
 - o EdD

- Master's Degree
- PhD (or equivalent)
- MD/PhD (or equivalent)
- o Other
- Career Status
 - Early Career (within 10 years of completing your terminal research degree or within 10 years of completing medical residency or the equivalent)
 - Mid-career (associate professor or equivalent)
 - Late career (tenured professor or equivalent)

Co-Principal Investigators 1-4. Hidden if "Does this project include Co-Principal Investigators?" is "No".

PLEASE NOTE: We require contact information for all Co-Principal Investigators (definition below). We DO NOT require contact information or provide biosketches for personnel who do not fit the description below.

A Co-Principal Investigator (Co-PI) is an individual recognized by the prime institution and the Principal Investigator (PI) as someone who shares scientific and administrative leadership responsibilities for a project with the PI. The Co-PI is an individual who the PI relies on to contribute substantively to the scientific development and direction of the project in addition to the execution of the project. The Co-PI shares responsibility with the PI for ensuring that milestones are achieved. The Co-PI is considered "key personnel" and may be employed by or formally affiliated (through a written agreement) with the prime institution or a collaborating institution with a subaward.

*All fields are required except pronouns.

- First Name
- Last Name
- Institution
- Title
- Department
- Pronouns
- Address
- Email
- Phone Number
- Are you new to the IBD research field? Your response will not impact your chances of receiving an award, as it's not one of the criteria we use when evaluating grants.
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 - **No**
- Is IBD your primary research focus?
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- If IBD is not your primary research, please describe the focus of your research.
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 - o NIH
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 - o Other
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- If you selected "other", please specify the source of your IBD funding. Please type "Not Applicable" if appropriate.
- Do you currently have researching funding from the Kenneth Rainin Foundation as a PI, Co-PI, or Co-Investigator to study IBD?
 - o Yes
 - **No**
- Have you previously received researching funding from the Kenneth Rainin Foundation as a PI, Co-PI, or Co-Investigator to study IBD?
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 - o **Female**
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Research Proposal Information

Project Title*

Aims and Objectives: SUGGESTED MAXIMUM WORD COUNT: 500*

Aims and objectives of the proposed research project.

Project Innovation & Impact - Why is this innovative and how will it impact the field of IBD? *

Keywords*

To help us match your project with the appropriate reviewers, please provide up to 5 keywords.

Research Category: This project can be best described as (select one): *

- Basic science
- Clinical science
- Translational science

Research Area: We support all research that is inclusive. This project can best be described as (select one): *

- Bioengineering
- Cell/molecular biology
- Complementary therapies
- Diet/nutrition
- Epithelial cell biology
- Fibrosis
- Genetics/omics
- Immunity and inflammation
- Microbiome
- Neuroimmunology
- New technologies
- Novel therapeutic compound
- Stem cell/repair
- Therapeutic delivery
- Other

If you selected 'Other' please specify here. If you did not select 'other' enter 'N/A'.

Requested Amount (Hidden if "Does this project include Co-Principal Investigators?" is "Yes"). *

How much are you requesting from the Kenneth Rainin Foundation for this application?

- The maximum award amount for a single PI is \$150,000.
- The budget uploaded on the next page must reflect the total amount requested, which may include up to 10% for indirect costs. Salary support for Principal Investigators is limited to \$10,000 per PI (including fringe), and may not exceed \$30,000.

Requested Amount (Hidden if "Does this project include Co-Principal Investigators?" is "No"). *

How much are you requesting from the Kenneth Rainin Foundation for this application?

- The maximum award amount for a collaborative project with Co-Principal Investigators is \$300,000.
- The budget uploaded on the next page must reflect the total amount requested, which may include up to 10% for indirect costs.
- Salary support for Principal Investigators/Co-Principal Investigators is limited to \$10,000 per PI/Co-PI (including fringe), and may not exceed \$30,000.

Document Uploads

If you have any technical questions or need any assistance while completing the application, please submit a <u>support ticket</u> to Grants Management. If you have content-specific questions, please contact Jackie Hausman, Program Officer at jackie.hausman@krfoundation.org. .

Project Budget*

Please upload your project budget using the template provided below and adhering the guidelines above.

Principal Investigator Biosketch*

We require an NIH biosketch for the principal investigator. Please upload a biosketch for the PI using the provided template. Please upload as a PDF.

<u>Click here</u> for template instructions and download the template below.

Co-Principal Investigators 1-4 Biosketch (Required depending on response to "Given the definition above, how many Co-Principal Investigators does this project have?") *

We require an NIH biosketch for Co-Principal Investigators. We DO NOT require contact information or biosketches for personnel or collaborators who DO NOT fit the definition of a Co-Principal Investigator.

- Please upload a biosketch for the PI using the provided template.
- Please upload as a PDF.

<u>Click here</u> for template instructions and download the template below.



Health Program Demographic Data Collection Purpose Statement

Why are we collecting demographic data, and why is it valuable?

The Health Program and the Kenneth Rainin Foundation as an institution have committed to equity as a core value that drives our mission. We request applicants provide us with demographic information about Principal and Co-Principal Investigators because it is critical in advancing equity in our work as funders.

We collect demographic data to:

- understand who our grants serve;
- uncover bias and access barriers in our programs, processes, and strategies;
- assess how our programs and processes impact outcomes, particularly for specific communities; and
- ensure the equitable distribution of Foundation resources.

How will we use demographic data in our decision-making process?

We will explore the data in aggregate to:

- o examine trends
- o identify results that indicate bias
- o identify gaps in outreach, support, and accessibility; and
- o to make improvements to our programmatic processes and strategies.
- We will not use the data to determine eligibility and will not exclude any applicant from consideration based on their responses, including declining to state.

This information will help us in our work to equitably allocate the Foundation's resources.

What demographic information are we collecting, and how are we collecting it?

What are we collecting?

We request demographic information from the Principal and any Co-Principal Investigators about how they publicly self-identify in the following categories. Applicants have the option to "decline to state." We will not use the data to determine eligibility and will not exclude any applicant from consideration based on their responses, including declining to state.

- Gender
- Race
- Sexuality
- Disability

We also collect information about the Principal and any Co-Principal Investigators' academic degrees, career status (e.g., early career), experience in the IBD field, and current and previous IBD funding. These fields do not have a "decline to state" option.

How are we collecting it?

We collect demographic data via grant applications when an applicant adds or updates a Principal or Co-Principal Investigator's contact information. Responses then get stored in the Principal or Co-Principal Investigator's contact record.

Who has access to the data, and where is it stored?

Data Storage – Where does the data live?

GivingData: Responses live in the Principal and Co-Principal Investigator's contact records in GivingData, the Foundation's Grants Management System (GMS). Contact records are hosted securely by GivingData in the United States. All data and documents in GivingData are encrypted, both at rest and in transit, with 256-bit data encryption. Databases utilize Transparent Data Encryption, which protects both data and log files, using Advanced Encryption Standard (AES).

SharePoint: Documents stored within GivingData are securely hosted on the Foundation's Microsoft SharePoint environment. External parties do not have access to the Foundation's internal SharePoint sites. SharePoint is also stored in the United States.

Access - Who can see what?

Individual Data/Directly Identifying Information

Foundation Staff: Foundation staff with access to our Grants Management System (GMS) can access its stored data, including contact records. All Giving Area, Grants Management, IT staff, along with certain Finance staff, have GMS access.

External Stakeholders and Vendors:

- GivingData, our GMS vendor, has access to our system in its entirety.
- The Scientific Advisory Board (SAB) makes Health funding recommendations and advises on strategies to improve outcomes for IBD patients. The SAB has access to individual race and gender response data only. They do not have access to the Foundation's GMS.
- The Health program contracts with the American Institute of Biological Science (AIBS) to support the application review process. AIBS does not have access to any individual response data, nor can they access the Foundation's GMS.
- Letter of Inquires (LOIs) are peer-reviewed. Peer reviewers do not have access to any individual response data, nor can they access the Foundation's GMS.

Applicants/Grantees: Anyone with access to the applicant or grantee's Kenneth Rainin Foundation Grantee Portal account can view the application data within.

Aggregate Data:

Foundation Board of Directors and Staff: The Health program shares anonymous, aggregate applicant and grantee data with our Board and Foundation staff who do not have access to the GMS. For example, we summarize the data through descriptive statistics and visualize it in charts and graphics in our Board books and presentations. When sharing data at this stage, we remove any personal information that could identify applicants and grantees.

Consultants, External Stakeholders, and Vendors: The Foundation may share anonymous, aggregate applicant and grantee data with consultants, external stakeholders, and vendors. When sharing data at this stage, we remove any personal information that could identify applicants and grantees.

What about consent?

A key intention for sharing the information above is to support applicants in making informed decisions about what identity information they share. We want to respect the agency of our applicants and grantees. Applicants can share all, some, or none of their demographic data without penalty via the "decline to state" response.

Contacting the Team

If you have questions about the demographic fields and how the Health program uses response data, please contact Jackie Hausman at <u>Jackie.hausman@krfoundation.org</u>.

If you have questions about GivingData, please reach out to the Grants Management team at grants@krfoundation.org